

Format of holding of specified securities

Annexure - I

- 1 **Name of Listed Entity : NORTH EASTERN PUBLISHING & ADVT. CO. LTD**
- 2 Scrip Code/Name of Scrip/Class of Security :
- 3 Share Holding Pattern Filed under: Reg. 31(1) (b) : **Quarter Ended 30/09/2019**
- 4 Declaration:

	Particulars	Yes	No
1	Whether the Listed Entity has issued any partly paid up shares		NO
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		NO
3	Whether the Listed Entity has any shares against which depository receipt are issued?		NO
4	Whether the Listed Entity has any shares in locked-in?		NO
5	Whether any shares held by promoters are pledge or otherwise encumbered?		NO

- 5 The tabular format for disclosure of holding of specified securities is as follows:-



Table I - Summary Statement holding of specified securities

Category y (I)	Category of shareholder (II)	Nos. of shareholde rs (III)	No. of fully paid- up equity shares held (IV)	No. of partly paid-up equity shares held (V)	No. of shares underlying Depository Receipt (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) as a % of (A+B+C)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No. of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
								Class eg:x	Class eg:y	Total								
(A)	Promoter & Promoter Group	4	16670000	0	0	16670000	41.154	16670000	0	16670000	41.154	0	41.154	0	0.000	0	0.000	16670000
(B)	Public	1026	23836000	0	0	23836000	58.846	23836000	0	23836000	58.846	0	58.846	0	0.000	0	0.000	16200460
(C)	Non Promoter - Non Public																	
(C1)	Shares underlying DRs																	
(C2)	Shares held by Employee Trusts																	
	TOTAL	1030	40506000	0		40506000	100.000	40506000	0	40506000	100.000	0	100.000	0	0.000	0	0.000	32870460

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of shareholders (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid-up equity shares held (IV)	No. of partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipt (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying Outstanding convertible securities (Including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) as a % of A	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
									No. of Voting Rights			Total as a % of Total Voting rights			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
									Class x	Class y	Total								
(1)	Indian		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(a)	Individuals/Hindu undivided Family		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(b)	Central Government/State Government(s)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(c)	Financial Institutions/Banks		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(d)	Any Other		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Bodies Corporate		4	16670000	0	0	16670000	41.154	16670000	0	16670000	41.154	0	41.154	0	0.000	0	0.000	16670000
	HOTAHOTI WOOD PRODUCTS LTD	AAACH5090E	0	4935000	0	0	4935000	12.183	4935000	0	4935000	12.183	0	12.183	0	0.000	0	0.000	4935000
	PURBANCHAL PRESTRESSED LTD.	AABCP2206P	0	4860000	0	0	4860000	11.998	4860000	0	4860000	11.998	0	11.998	0	0.000	0	0.000	4860000
	SANGRAHALAYA TIMBER AND CRAFTS LTD	AACCS8848P	0	4410000	0	0	4410000	10.887	4410000	0	4410000	10.887	0	10.887	0	0.000	0	0.000	4410000
	UTTAM MACHINERY AND SUPPLY COMPANY PRIVA	AAACU4236F	0	2465000	0	0	2465000	6.086	2465000	0	2465000	6.086	0	6.086	0	0.000	0	0.000	2465000
	Trusts		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Clearing Members		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Sub-Total (A)(1)		4	16670000	0	0	16670000	41.154	16670000	0	16670000	41.154	0	41.154	0	0.000	0	0.000	16670000
(2)	Foreign		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(a)	Individuals (Non-Resident Individuals/Foreign Individuals)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(b)	Government		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(c)	Institutions		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(d)	Foreign Portfolio Investor		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(e)	Any Other		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	OCBs		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Sub-Total (A)(2)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		4	16670000	0	0	16670000	41.154	16670000	0	16670000	41.154	0	41.154	0	0.000	0	0.000	16670000

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of shareholders (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipt (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding g % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying Outstanding convertible securities (Including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) as a % of (A+B+C)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form (XIV)
									No. of Voting Rights			Total as a % of Total Voting rights			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
									Class x	Class y	Total								
(1)	Institutions		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(a)	Mutual Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(b)	Venture Capital Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(c)	Alternate Investment Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(d)	Foreign Venture Capital Investors		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(e)	Foreign Portfolio Investors		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(f)	Financial Institutions/Banks		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(g)	Insurance Companies		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(h)	Provident Funds/Pension Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(i)	Any Other		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Foreign Institutional Investors		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	QFI - Corporate		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Sub-Total (B)(1)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(2)	Central Government/State Government(s)/President of India		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Sub-Total (B)(2)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(3)	Non-Institutions		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(a)	Individuals		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	i) Individual shareholders holding nominal share capital up to Rs.2 Lakhs.		1012	106740	0	0	106740	0.264	106740	0	106740	0.264	0	0.264	0	0.000	0	0.000	0
	ii) Individual shareholders holding nominal share capital in excess of Rs.2 Lakhs.		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0

(b)	NBFCs registered with RBI		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(c)	Employee Trusts		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(d)	Overseas Depositors (holding DRs)(balancing figure)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(e)	Any Other		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Bodies Corporate		14	23729260	0	0	23729260	58.582	23729260	0	23729260	58.582	0	58.582	0	0.000	0	0.000	16200460
	CHEMO TRADERS PVT LTD	AABCC0150D	0	1825590	0	0	1825590	4.507	1825590	0	1825590	4.507	0	4.507	0	0.000	0	0.000	1825590
	DOYANG WOOD PRODUCTS LTD.	AAACD7255M	0	3483400	0	0	3483400	8.600	3483400	0	3483400	8.600	0	8.600	0	0.000	0	0.000	0
	GULMOHUR TRADING PRIVATE LIMITED.	AABCG2154M	0	2220300	0	0	2220300	5.481	2220300	0	2220300	5.481	0	5.481	0	0.000	0	0.000	2220300
	KAMINI FINANCE AND INVESTMENT CO LTD	AAACK8421A	0	1677000	0	0	1677000	4.140	1677000	0	1677000	4.140	0	4.140	0	0.000	0	0.000	1677000
	MAURYA TRADING COMPANY LIMITED	AADCM2677B	0	543600	0	0	543600	1.342	543600	0	543600	1.342	0	1.342	0	0.000	0	0.000	543600
	MEGA RESOURCES LTD.	AADCM5397E	0	2000000	0	0	2000000	4.938	2000000	0	2000000	4.938	0	4.938	0	0.000	0	0.000	2000000
	MERLIN RESOURCES PRIVATE LTD.	AABCM7285P	0	1750000	0	0	1750000	4.320	1750000	0	1750000	4.320	0	4.320	0	0.000	0	0.000	1750000
	RUKMINI PROPERTIES PRIVATE LTD	AACCR1848L	0	2440600	0	0	2440600	6.025	2440600	0	2440600	6.025	0	6.025	0	0.000	0	0.000	2440600
	SMIFS CAPITAL MARKETS LTD.		0	4045400	0	0	4045400	9.987	4045400	0	4045400	9.987	0	9.987	0	0.000	0	0.000	0
	VISISTH CHAY VYAPAR LTD	AAACV6571C	0	1775000	0	0	1775000	4.382	1775000	0	1775000	4.382	0	4.382	0	0.000	0	0.000	1775000
	WISE INVESTMENT PRIVATE LIMITED	AAACW3141R	0	1300000	0	0	1300000	3.209	1300000	0	1300000	3.209	0	3.209	0	0.000	0	0.000	1300000
	QFI - Individual		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	NRI - Repatriable		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	NRI - Non-Repatriable		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	OCBs		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Trusts		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Clearing Memebers		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	IEPF Authority		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Sub-Total (B)(3)		1026	23836000	0	0	23836000	58.846	23836000	0	23836000	58.846	0	58.846	0	0.000	0	0.000	16200460
	Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		1026	23836000	0	0	23836000	58.846	23836000	0	23836000	58.846	0	58.846	0	0.000	0	0.000	16200460

Table IV - Statement showing shareholding pattern of the Non Promoter - Non Public shareholder

[illegible]

Table V - Statement showing shareholding details of significant beneficial owner

<i>Details of the significant beneficial owner (I)</i>				<i>Details of the registered owner (II)</i>			<i>Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect :(III) Whether by virtue of:</i>					
Sr No.	Name	PAN/Passport No. in case of a foreign national	Nationality	Name	PAN/Passport No. in case of a foreign national	Nationality	Shares %	Voting rights %	Rights on distributable dividend or any other distribution %	Exercise of control	Exercise of significant influence	Date of creation / acquisition of significant beneficial interest (IV)

